

RETHINKING ENGINEERING

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Dennis H. Camilleri

2001 is to be remembered for the tragedy of the Concorde and the destruction of the Twin Towers. Both were engineering feats and landmarks in their own field. They have both lost human lives, when besides being feats they had to be safe. The importance of risk and reliability analysis in engineering now appears to be growing in importance by the day.

The risk, safety and reliability methodology has come out of its childhood, it is now in its youth and has yet to mature. However, due to the above recent developments the urgency to reach maturity has now hastened.

To an engineer the "risk" associated with a hazard is a combination of a probability that that hazard will occur and the consequences of that hazard¹

Consequences include:

- Injury or loss of life
- Reconstruction costs
- Loss of economic activity
- Environmental losses

When explicitly addressed, a risk analysis is carried out and the result is compared with the maximum acceptable risks. These fundamental levels of safety have to be acceptable to society as a whole, for it is on their behalf that engineers make such decisions. The UK Health & Safety Executive (HSE) has defined a maximum level of risk, which is just tolerable, and a minimal level below which further action to reduce risks may not be required.

The values are given as:
Workers all occupations (upper limit) 1/1000 per year per person (10-3)
Public at risk from industrial operations 1/10,000 per year per person (10-4)
Public at risk from nuclear industry operations 1/100,000 per year per person (10-5).

The target probability for one year should be 10-4 for "normal cases", as this is what society nowadays seems to accept or is unavoidable anyway. For voluntary activities involving economic benefits or other profits, a higher value may be considered as acceptable. However, if somebody is involuntarily put to an unnatural risk from which he has no benefits at all, such as those living close to a plant or near a transport route of dangerous materials, the target must be lower at 10-5 per year. Yet further risk reduction measures may be considered in relation to de minimus annual risk levels (i.e. the levels below which risks are of no legal concern), of 10-6 for a worker and 10-7 or 10-8 for a member of the public².

A shortcoming of the present Ultimate Limit State method is that in the partial coefficients applied no indication is given on how safe the structure is, and no size of coefficient is given for a corresponding level of safety. The Nordic Codes indicate the partial coefficient to be adopted for a maximum failure probability depending on the safety class and type of failure.

Table 1 – maximum annual failure probabilities for structures depending on their safety class (consequence of failure) and the type of failure.

Safety Class	Type of Failure		
	Ductile with reserves	Ductile	Brittle
Low	10 ⁻³	10 ⁻⁴	10 ⁻⁵
Normal	10 ⁻⁴	10 ⁻⁵	10 ⁻⁶
High	10 ⁻⁵	10 ⁻⁶	10 ⁻⁷

High safety class represents situations when failure can result in large societal consequences and risks of injuries. In practice all road bridges belong to high safety class.

EC1 differentiates structures in relation to risk to life, and risk of economic and

social losses as in table 2. Such a classification may be used to select appropriate degrees of reliability according to such consequences. EC1 also refers to Design Working Life as referred to in table 3.

Table 2 – examples of reliability differentiation according to life & economic and social loss risks.

Degree of reliability	Potential risk to life, risk of economic and social losses	Examples of buildings and civil engineering works
Extremely high	Very high	Nuclear power reactors, major dams and barriers, strategic defence structures
> Normal	High	Significant bridges, grandstands, public buildings where consequences of failure are high
Normal	Medium	Residential and office buildings, public buildings where consequences of failure are medium
< Normal	Low	Agricultural buildings where people do not normally enter, greenhouses, lightning poles

Table 3 – design working life examples.

Design working life	Examples
1-5 years	Temporary structures
25 years	Replacement structural parts e.g. handrails, small canopies, protective features (slats, caps, etc.)
50 years	Buildings, footbridges and other common structures
100 years	Monumental buildings and other special or important structures
120 years	Highway and rail bridges

To overcome the problem of the partial coefficient not giving an indication of the probability of failure indicated above, the Nordic Code specifies the requirement in the ultimate limit state for the structural safety specified with reference to failure types and failure consequences, i.e. safety class with the requirements for the formal yearly probability of failure P_f . From table 4, it is seen that it is possible to calculate the formal yearly probability P_f or the corresponding reliability index β , it is possible to determine whether the requirements for the safety are fulfilled or not.

The centred value of table 4, should be considered as the most common design situation.

The NKB3 also gives guidelines for above values – 0.97 is given for a “low safety class”, having a “ductile failure with reserves”, also a “good accuracy for the calculation model,” with a “good representation of structural behaviour,” and “good quality control.” 3.51 is given for a “high safety class”, having a “brittle failure,” also a poor accuracy for the calculation model,” with a “poor representation of structural behaviour,” and “poor quality control.”

Table 4 – Safety requirements in the Ultimate Limit State specified as the formal yearly probability of failure P_f and the corresponding reliability index β by the Nordic Committee (NKB)2

Failure consequences (Safety class)	Failure type I, Ductile failure with remaining capacity	Failure type II, Ductile failure without remaining capacity	Failure type III, Brittle failure
Less Serious (Low safety class)	$P_f * 10^{-3}; \beta 3.09$	$P_f * 10^{-4}; \beta 3.71$	$P_f * 10^{-5}; \beta 4.26$
Serious (Normal safety class)	$P_f * 10^{-4}; \beta 3.71$	$P_f * 10^{-5}; \beta 4.26$	$P_f * 10^{-6}; \beta 4.75$
Very serious (High safety class)	$P_f * 10^{-5}; \beta 4.26$	$P_f * 10^{-6}; \beta 4.75$	$P_f * 10^{-7}; \beta 5.20$

It is to be noted that the Very High Safety Class as listed in table 2, is not included in table 4. The consequences are regarded as extreme and a full Cost-Benefit Analysis involving estimates of the monetary value of potential costs and benefits is necessitated. The evaluation of economic costs and benefits is relatively straightforward, but the evaluation of monetary costs associated with risks of

death is controversial as it involves assigning a monetary value to life. Furthermore any procedure for determining a monetary value of life may be challenged from a philosophical point of view. The conclusion of this analysis might be that the structure should not be built at all.

The Twin Towers besides being a functional office building is also defined as a Monument. This places it in

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the Very High Safety Class of table 2, thus besides requiring higher partial coefficients for its design it also necessitates a full Cost-Benefit Analysis prior to proceeding with the project.

Whilst codified design is suitable for "normal structures", it is apparent that for novel structures regard has to be taken of methods of probabilistic risk analysis. Together with refined statistical models of loading and material resistance a direct determination of failure probability may be the basis for decisions of the design. For important projects it may be feasible to reduce the uncertainty by updating the assumed physical models by test programmes. The updated figures are used to estimate the structural reliability and the risk to the users. Structures, for which it is not practicable to reduce risks to negligible limits, include structures that are exposed to significant risks of extreme loading (e.g., due to severe earthquakes, hurricanes,

cyclones or landslips). Appropriate risk-acceptance criteria related to societal expectations of life protection need to be identified.

During the lifetime of a project it goes through a number of distinct phases. During the different phases it may have varying characteristics, with the risk varying from phase to phase. A number of decisions will have to be made during its lifetime and different decision makers will make these. A "log file" containing all relevant data on the history and decisions taken on the project should be readily available, as a common framework for risk and safety considerations to be taken during different project phases. The wrong decisions taken during the Twin Towers evacuation, whilst still standing after the terrorists' strike, cost dearly in terms of human lives lost.

Will we ever build high-rise buildings again?" The answer is "yes" for until we find a way to move people horizontally

as efficiently as lifts can move them vertically, there will remain the need for high-rise structures, including super high rise structures, grouped together in downtown areas. Besides the important issue of increasing structural robustness in case of extreme events, such as aircraft impact and the ensuing fire, the second issue is the rapid evacuation and saving of lives. Clearly, trying to evacuate people through the damaged building, particularly from the floors above the impact levels is presently hopelessly inadequate. Each tall building should have a defined range of airspace around it, with automatic alarms activated for full-scale evacuation, an additional safety net also being suggested. There should be

alternative means of escape, group parachutes from various levels being suggested, together with massive helicopter rescue facilities from movable pads. Should the tall buildings be constructed as groups with interconnections at various levels for rapid evacuation, such as at Petronas Towers at Kuala Lumpur?

With our increasing wealth, safety is an ever-increasing requirement from society. Whereas absolute safety is an illusion, tools exist for achieving a trade-off, which is an optimum with respect to the aims of the decision maker. The techniques for risk and reliability can be applied with benefit in all phases of a project⁴.

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